## DTO3 Rec'd PCT/PTO \_1 5 FEB 2005

PTO-1390 (Rev. 02-2005)
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## ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES CSHL-P01-011 DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED US2003/030901 29 September 2003 27 September 2002 TITLE OF INVENTION CELL-BASED RNA INTERFERENCE AND RELATED METHODS AND COMPOSITIONS APPLICANT(S) FOR DO/EO/US Hannon et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must 3. include items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). Х A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. х is not required, as the application was filed in the United States Receiving Office (RO/US). C. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). 6. is attached hereto. a. b. has been previously submitted under 35 U.S.C. 154(d)(4). х Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. d. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 8. 9 An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. x A preliminary amendment. 14 An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 17. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. 20. Other items or information: Return Receipt Postcard

## DTO1 Rec'd PCT/PTO 1 5 FEB 2005

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U.S. APPLICATION	U.S. APPLICATION NO. (ILLINOWN, See 37 CFP.1.5) INTERNATIONAL APPLICATION NO.				ATTORNEY'S DOCKET NUMBER			
10152469U US2003/030901			CSHL-P01-011					
The following fees have been submitted					CA	LCULATIONS	PTO USE ONLY	
21. x Basic national fee\$300					\$300	\$	300.00	
22. x Examination fee								
If International p	reliminary examinati	on report prep	ared by USPTO and	all claims	satisfy	$\vdash$		
provisions of PCT Article 33(1)-(4)						s	200.00	
All other situations \$200 23. X Search fee							200.00	<del>                                     </del>
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USPTO	CFR 1.445(a)(2)) has as an International S	earching Auth	ority	•	\$100			
International Search Report prepared and provided to the Office\$400						s	100.00	
All other situations \$500						S	600.00	<del> </del>
TOTAL OF 21, 22 and 23 =  Additional fee for specification and drawings filed in paper over 100 sheets (excluding							000.00	
sequence	e listing or computer	program listing	g filed in an electroni					
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Total claims			11	x	200.00	\$	2,200.00	<del> </del>
Independent claims 14 - 3 = 11  MULTIPLE DEPENDENT CLAIM(s) (if applicable)				+	200.00	\$	2,200.00	
TOTAL OF ABOVE CALCULATIONS =							4,650.00	
TOTAL OF ABOVE CALCULATIONS = \$ 4,650.00  X Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. \$								
SUBTOTAL =							2,325.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months							2,323.00	
from the earliest claimed priority date (37 CFR 1.492 (f)).								
TOTAL NATIONAL FEE =						\$	2,325.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be								
accompanied by an appropriate cover sneet (37 CFR 3.28, 3.31). \$40.00 per property								
TOTAL FEES ENCLOSED =						\$	2,325.00	<u> </u>
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a. A check in the amount of \$ to cover the above fees is enclosed.								
b. X Plan				· :	- 46	-c C	2 225 00	
b. X Please charge my Deposit Account No. 18-1945 in the amount of \$ 2,325.00 to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any								
overpayment to Deposit Account No. <u>18-1945</u> . A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card								
information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been peet, a petition to revive (37 CFR 1.137 (a) or (b))								
MOTE: Where a	an appropriate time and granted to rest	limit under 3 ore the Intern	of CFR 1.495 has no ational Application	to pending	t, a petition to status.	revive	(37 CFR 1.137 (a	i) or (b))
SEND ALL CORRESPONDENCE TO:								
Matthew P. Vincent								
ROPES & GRAY LLP  One International Place  Matthew P. Vincent								
Boston, Massachusetts 02110-2624								
	(617) 951-7739 36,709							
CUSTOMER NUMBER: 28120 REGISTRATION NUMBER								

Application No. (if known): Not Yet Assigned

Attorney Docket No.: CSHL-P01-011

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV543597160US in an envelope addressed to:

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15 February 2005 Date

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each submitted paper.

Transmittal Letter to the United States Designated-Elected Office (2

pages)

Application Data Sheet (5 pages)
Preliminary Amendment (11 pages)

Second copy of published International Application (93 pages)

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